

5cap

5CAP PTY LTD · SYDNEY, AUSTRALIA

An Australian broker for the world's markets.

Welcome to 5cap Pty Ltd.

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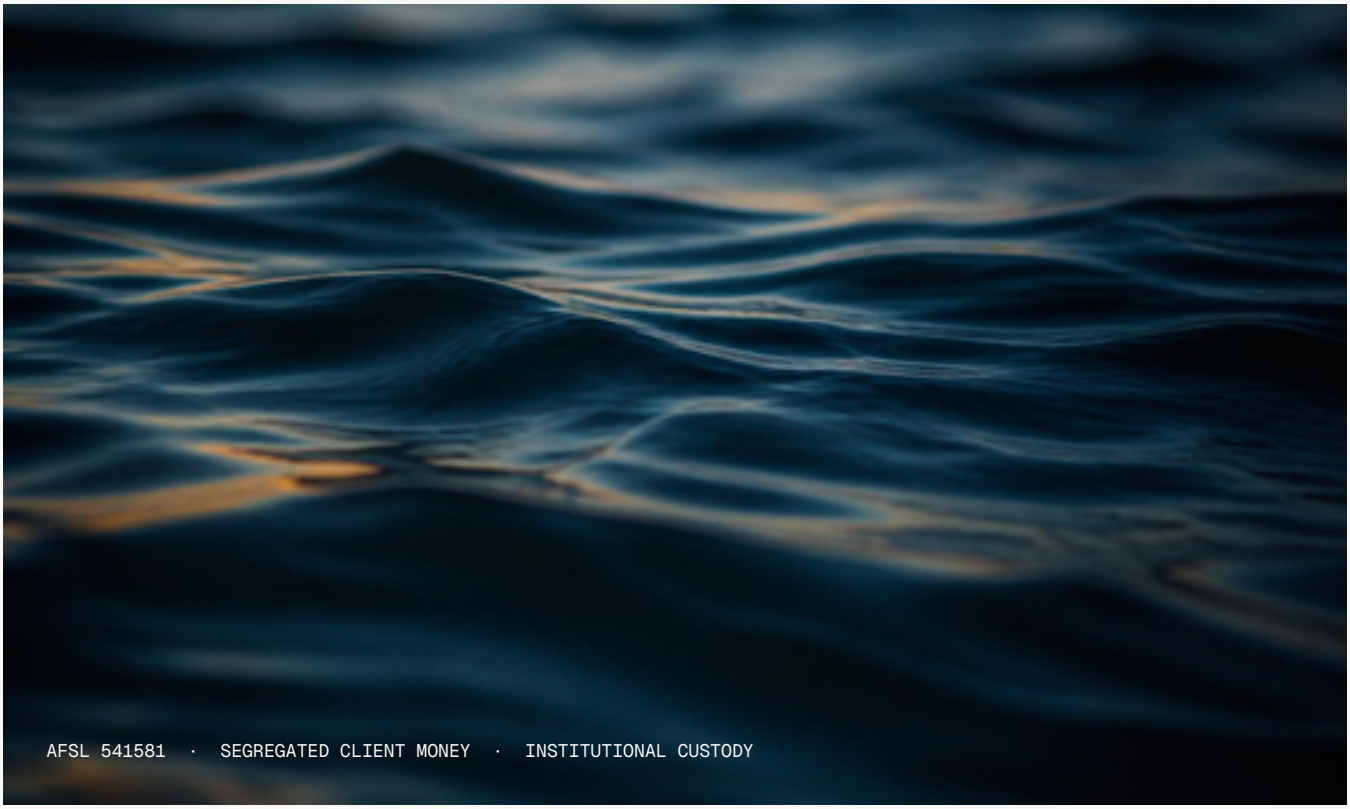
A NOTE FROM THE FIRM

Built in Australia.
Trusted worldwide.

5cap Pty Ltd is a fully online Australian brokerage built for private investors, family offices and self-directed clients who want direct, regulated access to global equities, fixed income and primary market allocations — without the friction of legacy institutions.

We operate entirely online. Our clients invest from every corner of the country, from harbour-side Sydney to the far west, using a single platform backed by ASIC oversight and institutional-grade custody.

This brochure introduces the firm, our services, and what it means to hold an account with 5cap.



From a country that rewards patience.

Long horizons. Real assets. Compounded returns.

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ABOUT 5CAP

A regulated broker.
Fully online. Fully accountable.

5cap Pty Ltd operates under Australian financial services regulation (AFSL 541581). Client cash sits in segregated accounts. Securities are held in institutional custody. Every trade, wire and portfolio movement is auditable end to end.

AFSL
AUSTRALIAN REGULATION

24 hours
GLOBAL MARKET COVERAGE

100%
ONLINE ACCOUNT OPENING

T+1
SETTLEMENT



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WHAT WE OFFER

Everything a serious investor actually uses.

01 **Global Equities**

Direct market access to ASX, NZX, NYSE, NASDAQ, LSE, HKEX and TSX. Fractional shares available on US names.

02 **Fixed Income & Bonds**

Australian Commonwealth Government bonds, semi-government, corporate credit and US treasuries with yield tools built in.

03 **US IPO Access**

Institutional book-build allocations for qualifying clients, plus a curated calendar of confirmed and pipeline listings.

04 **Portfolio & Custody**

Segregated custody, live valuations, statements on demand, and cash management with multi-currency support.

05 **Secure Wires**

Same-day domestic transfers (AU BSB, NZ), international SWIFT, and two-factor authorised outbound instructions.

06 **Private Advisory**

A direct line to our Sydney team for family office, SMSF and company-structured accounts.



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06 · US IPO ACCESS

The most direct route from home to Wall Street.

US IPOs have been one of the great sources of long-term wealth creation. 5cap's book-build relationships open that access to qualifying Australian and New Zealand clients.

PAST ALLOCATIONS

SpaceX	Pre-IPO secondary · 2023
Airbnb	IPO · 2020
Coinbase	Direct listing · 2021
Rivian	IPO · 2021
Snowflake	IPO · 2020

ON THE PIPELINE

Anthropic	Confirmed · 23 October 2026
Stripe	Rumoured window
Databricks	Rumoured window
Canva	Rumoured window

Register your interest

Qualifying clients are contacted ahead of each book-build closing.

FIVECAP.COM.AU/IPO-ACCESS

SUBJECT TO ELIGIBILITY, ALLOCATION AND ISSUER TERMS.



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WHAT OUR CLIENTS SAY

Results, quietly compounded.

> *Our family office finally has a single Australian broker that can actually deliver a US IPO allocation. The SpaceX secondary tranche paid for the relationship on its own.*

PRIVATE CLIENT · FAMILY OFFICE

> *The Airbnb allocation in 2020 was the first IPO I'd ever been given by a broker. Held to today it's the single best position in my portfolio.*

SMSF TRUSTEE

> *What I care about is that when I send a wire, it moves, and when I ask for a statement, it's accurate. 5cap does both without drama.*

COMPANY ACCOUNT HOLDER

CLIENT SATISFACTION · 2025 SURVEY

96% of clients would recommend 5cap to another private investor.

Based on 412 responses from account holders in Australia and New Zealand.

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08 · BY THE NUMBERS

Track record.
Not marketing.

A$340M+

IPO ALLOCATIONS

PLACED WITH AUSTRALIAN CLIENTS SINCE INCEPTION

47

PRIMARY LISTINGS

PARTICIPATED IN OVER THE LAST 5 YEARS

112%

AVG FIRST-YEAR RETURN

ON US IPO ALLOCATIONS (2020–2024)

90+

GLOBAL EXCHANGES

DIRECTLY ACCESSIBLE FROM ONE ACCOUNT

One account. 90+ exchanges. 30+ countries.

PAST PERFORMANCE IS NOT A GUARANTEE OF FUTURE RETURNS. FIGURES ARE INDICATIVE.

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TRANSPARENT PRICING

Priced for private clients.

Australian equities (ASX)	**0.08% · min A$8**
New Zealand equities (NZX)	**0.10% · min NZ$10**
US equities	**US$0.0035 / share · min US$1**
UK, HK, Canadian equities	**0.15% · min A$25**
AGB & semi-government bonds	**0.10% of face**
Corporate bonds	**0.20% of face**
US IPO allocations	**Included — no allocation fee**
Domestic AU / NZ wires	**No charge**
International SWIFT wire	**A$25 flat**
Custody & platform	**No monthly or annual fee**

Fees are debited from account cash at trade settlement and shown on every statement. FX conversions are carried out at institutional mid-market plus a modest spread.

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OPEN AN ACCOUNT

Structures for every kind of investor.

Individual

The most direct route. Fully online onboarding in under ten minutes.

Joint

Two named holders, both signatories on wires and trade instructions.

SMSF

Self-managed super fund accounts with trustee KYC and a full statement suite.

Company (Pty)

Proprietary company accounts with ABN lookup and director verification.

Trust

Discretionary and unit trust structures with deed review.



“

Great brokers do not sell products. They give patient investors access to opportunities they would not otherwise see — and then get out of the way.

5CAP · HOUSE VIEW · 2026

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GET IN TOUCH

Contact 5cap.

5cap Pty Ltd is a registered Australian private company based in Sydney, holding an Australian Financial Services (AFS) Licence (No. 541581). We provide Australian and New Zealand investors with regulated access to global equities, bonds and US IPOs.

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This document is general information only and does not constitute personal financial advice. Consider whether the products described are appropriate for your objectives before acting. Your capital is at risk.